

EGERVÁRY RESEARCH GROUP
ON COMBINATORIAL OPTIMIZATION



TECHNICAL REPORTS

TR-2010-09. Published by the Egerváry Research Group, Pázmány P. sétány 1/C,
H-1117, Budapest, Hungary. Web site: www.cs.elte.hu/egres. ISSN 1587-4451.

A note on a conjecture on clutters

Júlia Pap

November 2010

A note on a conjecture on clutters

Júlia Pap^{*}

Abstract

We prove some partial results on a conjecture of Király on minimally nonideal clutters. We show on one hand that it is true if the core of the clutter (or of its blocker) is cyclic, and on the other hand that it is true if we restrict the conjecture to the cores.

1 Introduction

A set family \mathcal{C} on a finite ground set S is called a *clutter* if no set of it contains another. We will call the sets in a clutter its *edges*. Its *blocker* $b(\mathcal{C})$ is defined as the family of the (inclusionwise) minimal sets that intersect each set in \mathcal{C} , in other words the minimal transversals of \mathcal{C} .

Definition 1.1. The *covering polyhedron* of a clutter \mathcal{C} is the following:

$$P(\mathcal{C}) = \{x \in \mathbb{R}_+^S : x(C) \geq 1 \text{ for every } C \in \mathcal{C}\}.$$

The clutter \mathcal{C} is *ideal* if $P(\mathcal{C})$ is an integer polyhedron.

It is easy to see that the 0 – 1-elements in $P(\mathcal{C})$ are the transversals of \mathcal{C} , and that \mathcal{C} is ideal if and only if $P(\mathcal{C}) = \text{conv}\{\chi_B : B \in b(\mathcal{C})\} + \mathbb{R}_+^S$. It is known that a clutter is ideal if and only if its blocker is. For basic results on clutters see [1].

Tamás Király formulated the following conjecture:

Conjecture 1.2. *Let \mathcal{A} be a nonideal clutter on ground set S and let \mathcal{B} be its blocker. Then there exists a function $h : \mathcal{A} \cup \mathcal{B} \rightarrow S$ such that $h(X) \in X \forall X \in \mathcal{A} \cup \mathcal{B}$ and if $h(A) = h(B)$ for some $A \in \mathcal{A}$ and $B \in \mathcal{B}$ then $|A \cap B| > 1$.*

In this note we give some partial results about this conjecture.

^{*}Department of Operations Research, Eötvös Loránd University, Budapest, Hungary. Supported by ERC Grant No. 227701

2 Results

We prove that it is enough to prove Conjecture 1.2 for a special class of clutters. First we need some more definitions. We can define two types of minor operations of clutters corresponding to including or excluding an element in the transversal.

Definition 2.1. Let \mathcal{C} be a clutter and $s \in S$ an element. Provided that $\{s\} \notin \mathcal{C}$, by *contracting* s we get the clutter \mathcal{C}/s on vertex set $S \setminus \{s\}$ containing the minimal sets in $\{C \setminus s : C \in \mathcal{C}\}$. Provided that not every edge contains s , by *deleting* s we get the clutter $\mathcal{C} \setminus s$ on vertex set $S \setminus \{s\}$ consisting of $\{C : C \in \mathcal{C}, s \notin C\}$. A *minor* of \mathcal{C} is a clutter obtained by these two operations (it is easy to see that the order of the operations does not matter).

It is known that the minor operations act nicely with the blocker operation: $b(\mathcal{C}/s) = \mathcal{C} \setminus s$ and $b(\mathcal{C} \setminus s) = \mathcal{C}/s$, and that their covering polyhedra can be obtained from the covering polyhedron of \mathcal{C} :

$$P(\mathcal{C}/s) = \{x \in \mathbb{R}_+^{S-s} : (x, 0) \in P(\mathcal{C})\} \cong P(\mathcal{C}) \cap \{x \in \mathbb{R}^S : x_s = 0\},$$

$$P(\mathcal{C} \setminus s) = \{x \in \mathbb{R}_+^{S-s} : \exists t : (x, t) \in P(\mathcal{C})\} \cong \text{proj}_s(P(\mathcal{C})).$$

Claim 2.2. *If Conjecture 1.2 holds for \mathcal{A}/s or $\mathcal{A} \setminus s$ then it holds for \mathcal{A} as well.*

Proof. First suppose that the conjecture is true for \mathcal{A}/s , and let $h : \mathcal{A}/s \cup \mathcal{B} \setminus s \rightarrow S$ be a function satisfying the conditions.

For a set $A \in \mathcal{A}$ let $h'(A) := h(A')$ for an arbitrary edge $A' \in \mathcal{A}/s$ for which $A' \subseteq A$, and for a set $B \in \mathcal{B}$ let $h'(B) := \begin{cases} s & \text{if } s \in B \\ h(B) & \text{otherwise.} \end{cases}$

So $h'(A) \neq s$ for any $A \in \mathcal{A}$. The condition that $h(X) \in X$ for any $X \in \mathcal{A} \cup \mathcal{B}$ holds. If $h'(A) = h'(B)$, then this element is not s , so $h'(B) = h(B)$, and for some $A' \cap A$, $h'(A) = h(A')$. Thus $|A' \cap B| > 1$ so $|A \cap B| > 1$, so the second condition also holds.

The other case follows from the first because of symmetry. \square

Definition 2.3. A clutter \mathcal{C} is called *minimally nonideal* (or mni for short) if it is not ideal but any proper minor of it is ideal.

Claim 2.2 asserts that it is enough to show Conjecture 1.2 for mni clutters.

It follows from the above mentioned facts that a clutter is mni if and only if its blocker is. We note that an excluded minor characterization for mni clutters is not known (which would be a counterpart of the strong perfect graph theorem) but Lehman proved that mni clutters have special structure.

For an integer $t \geq 2$, the clutter $\mathcal{J}_t = \{\{1, 2, \dots, t\}, \{0, 1\}, \{0, 2\}, \dots, \{0, t\}\}$ on ground set $\{0, 1, \dots, t\}$ is called the finite degenerate projective plane. Its blocker is itself.

For a clutter \mathcal{A} we denote its edge-element incidence matrix by $M_{\mathcal{A}}$.

Theorem 2.4 (Lehman's theorem, [2]). *Let \mathcal{A} be a minimally nonideal clutter non-isomorphic to \mathcal{J}_t and let \mathcal{B} be its blocker. Then $P(\mathcal{A})$ has a unique noninteger vertex, namely $\frac{1}{r}\underline{1}$ where r is the minimal size of an edge in \mathcal{A} , and $P(\mathcal{B})$ has a unique non-integer vertex, namely $\frac{1}{s}\underline{1}$ where s is the minimal size of an edge in \mathcal{B} . There are exactly n sets of size r in \mathcal{A} and each element is contained in exactly r of them; and similarly for \mathcal{B} . Moreover if we denote the clutter of minimum size edges in \mathcal{A} resp. \mathcal{B} by $\bar{\mathcal{A}}$ and $\bar{\mathcal{B}}$, then the edges of $\bar{\mathcal{A}}$ and $\bar{\mathcal{B}}$ can be ordered in such a way that $M_{\bar{\mathcal{A}}}M_{\bar{\mathcal{B}}}^T = M_{\bar{\mathcal{A}}}^T M_{\bar{\mathcal{B}}} = J + dI$, where J is the $n \times n$ matrix of ones, and $d = rs - n$.*

Claim 2.5. *Let \mathcal{A} be an mni clutter on ground set $S = \{s_1, s_2, \dots, s_n\}$ and let \mathcal{B} be its blocker. Then there exists a function $h : \bar{\mathcal{A}} \cup \bar{\mathcal{B}} \rightarrow S$ such that $h(X) \in X \forall X \in \bar{\mathcal{A}} \cup \bar{\mathcal{B}}$ and if $h(A) = h(B)$ for some $A \in \bar{\mathcal{A}}$ and $B \in \bar{\mathcal{B}}$ then $|A \cap B| > 1$*

Proof. Due to Lehman's Theorem 2.4 the sets in $\bar{\mathcal{A}}$ and $\bar{\mathcal{B}}$ can be indexed as A_1, A_2, \dots, A_n and B_1, B_2, \dots, B_n such that $|A_i \cap B_j| > 1$ if and only if $i = j$. So we want to choose $h(A_i) = h(B_i) \in A_i \cap B_i$ so that they are all different. To this end we construct the bipartite graph $G = (S, T; E)$ where $T = \{t_1, t_2, \dots, t_n\}$ and $s_i t_j \in E \Leftrightarrow s_i \in A_j \cap B_j$. Lehman's Theorem implies that G is $(d + 1)$ -regular: on the side of S because $M_{\bar{\mathcal{A}}}^T M_{\bar{\mathcal{B}}} = I + dJ$ which implies that for any element in S there are $d + 1$ indices $i \in [n]$ such that $s \in A_i \cap B_i$. And on the side of T because $M_{\bar{\mathcal{A}}} M_{\bar{\mathcal{B}}}^T = I + dJ$ which implies that $|A_i \cap B_i| = d + 1$ for every $i \in [n]$. So the bipartite graph G is regular so König's Theorem implies that there is a perfect matching in G which gives a function h with the desired properties. \square

Definition 2.6. A clutter is *cyclic* if it is isomorphic to a clutter of all the sets containing r consecutive elements in cyclic order for some r .

Claim 2.7. *If the core of the mni clutter \mathcal{A} is cyclic, then Conjecture 1.2 is true.*

Proof. Suppose that $S = \{s_{1,2}, \dots, s_n\}$ and that the order of the indices is the order for which \mathcal{A} is cyclic. Let us define h as follows. For $A \in \bar{\mathcal{A}}$ let $h(A)$ be the last element of A in cyclic order. For $A \in \mathcal{A} \setminus \bar{\mathcal{A}}$ take a modulo r congruence class (here we look at the indices of the elements) which has more than one elements in A and let $h(A)$ be the smallest element among these (there is such a congruence class since in this case $|A| > r$).

For $B \in \mathcal{B}$ let $h(B)$ be the element with the largest index such that the preceding $r - 1$ elements intersect B . There is such an element because otherwise every r th element would be in B but $|B| \geq s = \frac{n+d}{r} > \frac{n}{r}$.

Now suppose that for $A \in \mathcal{A}$ and $B \in \mathcal{B}$ we have $h(A) = h(B) = s_i$. If $A \in \bar{\mathcal{A}}$, then by the definition of h , A and B meet at an element among the $r - 1$ elements preceding s_i . If $A \in \mathcal{A} \setminus \bar{\mathcal{A}}$ then on one hand A contains an element s_j for which $j > i$ and $j \equiv i \pmod{r}$ because of the definition of $h(A)$. On the other hand B contains all these elements since after s_i it has to contain every r th element. So they have another common element, which shows that h fulfills the criteria. \square

3 An example

Let us examine the clutter \mathcal{O}_{K_5} whose ground set is the edge set of the graph K_5 and which consists of the odd cycles. The blocker $b(\mathcal{O}_{K_5})$ of it consists of the K_4 subgraphs and the triangles together with the edge disjoint from them. These clutters are minimally nonideal as was shown by Seymour [3].

Figure 3 shows a function on the cores $\overline{\mathcal{O}_{K_5}}$ and $\overline{b(\mathcal{O}_{K_5})}$ whose existence is guaranteed by Claim 2.5: it satisfies the conditions for the cores (the edges selected by h_{bad} are drawn in thick; on the other clutter-edges h_{bad} acts with a rotation symmetry).

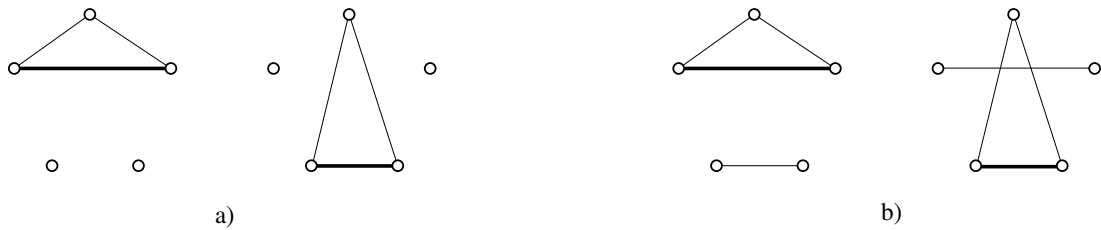


Figure 1: a) Function h_{bad} on $\overline{\mathcal{O}_{K_5}}$, b) function h_{bad} on $\overline{b(\mathcal{O}_{K_5})}$

However this function can not be extended to the whole clutter, the 5-cycle of the “outer“ edges shows this. But there is a function h_{good} which satisfies all the requirements of Conjecture 1.2, Figure 3 shows such a function (again the clutter-edges not shown have their selected edges symmetrically).

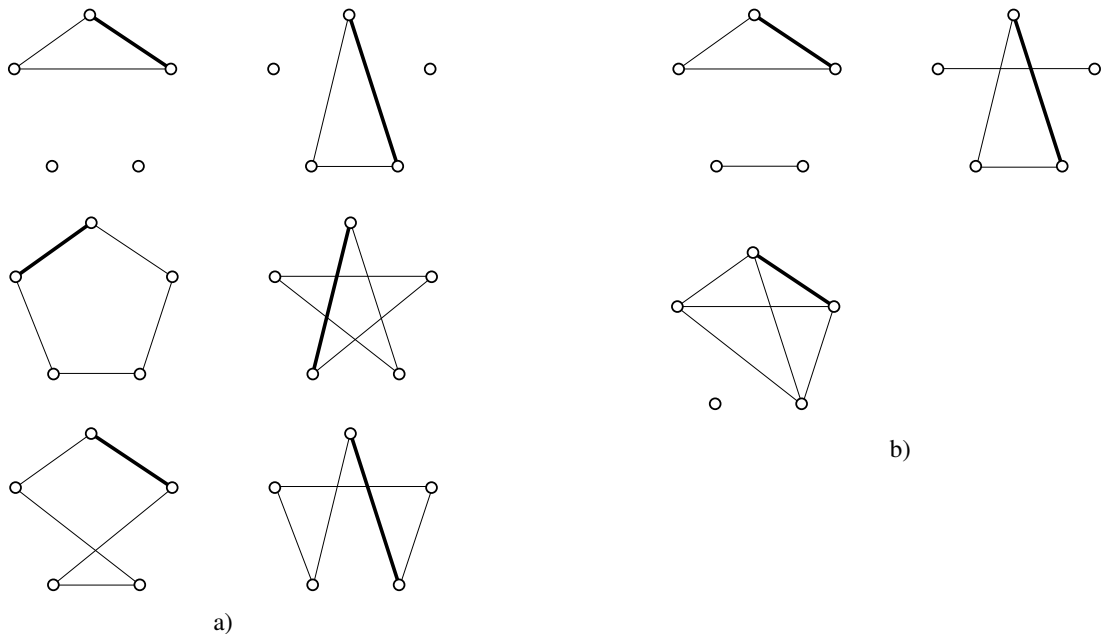


Figure 2: a) Function h_{good} on \mathcal{O}_{K_5} , b) function h_{good} on $b(\mathcal{O}_{K_5})$

References

- [1] G. Cornuejols, *Combinatorial optimization: packing and covering*, CBMS-NSF Regional Conference Series in Applied Mathematics, 2000.
- [2] A. Lehman, *On the width-length inequality and degenerate projective planes*, Polyhedral Combinatorics (W. Cook and P.D. Seymour eds.), *DIMACS Series in Discrete Mathematics and Theoretical Computer Science 1*, American Mathematical Society, Providence, R.I. (1990), 101–105.
- [3] P.D. Seymour, *The matroids with the max-flow min-cut property*, Journal of Combinatorial Theory Series B **23** (1977), 189–222.